

No.

8700103



# THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

**Pioneer Hi-Bred International, Inc.**

Whereas, THERE HAS BEEN PRESENTED TO THE  
**Secretary of Agriculture**

AN APPLICATION REQUESTING A CERTIFICATE OF PROTECTION FOR AN ALLEGED NOVEL VARIETY OF SEXUALLY REPRODUCED PLANT, THE NAME AND DESCRIPTION OF WHICH ARE CONTAINED IN THE APPLICATION AND EXHIBITS, A COPY OF WHICH IS HEREUNTO ANNEXED AND MADE A PART HEREOF, AND THE VARIOUS REQUIREMENTS OF LAW IN SUCH CASES MADE AND PROVIDED HAVE BEEN COMPLIED WITH, AND THE TITLE THERETO IS, FROM THE RECORDS OF THE PLANT VARIETY PROTECTION OFFICE, IN THE APPLICANT(S) INDICATED IN THE SAID COPY, AND WHEREAS, UPON DUE EXAMINATION MADE, THE SAID APPLICANT(S) IS (ARE) ADJUDGED TO BE ENTITLED TO A CERTIFICATE OF PLANT VARIETY PROTECTION UNDER THE LAW.

NOW, THEREFORE, THIS CERTIFICATE OF PLANT VARIETY PROTECTION IS TO GRANT UNTO THE SAID APPLICANT(S) AND THE SUCCESSORS, HEIRS OR ASSIGNS OF THE SAID APPLICANT(S) FOR THE TERM OF *eighteen* YEARS FROM THE DATE OF THIS GRANT, SUBJECT TO THE PAYMENT OF THE REQUIRED FEES AND PERIODIC REPLENISHMENT OF VIABLE BASIC SEED OF THE VARIETY IN A PUBLIC-REPOSITORY AS PROVIDED BY LAW, THE RIGHT TO EXCLUDE OTHERS FROM SELLING THE VARIETY, OR OFFERING IT FOR SALE, OR REPRODUCING IT, OR IMPORTING IT, OR EXPORTING IT, OR USING IT IN PRODUCING A HYBRID OR DIFFERENT VARIETY THEREFROM, TO THE EXTENT PROVIDED BY THE PLANT VARIETY PROTECTION ACT (STAT. 1542, AS AMENDED, 7 U.S.C. 2321 ET SEQ.)

SOYBEAN

'9331'



In Testimony Whereof, I have hereunto set  
my hand and caused the seal of the Plant  
Variety Protection Office to be affixed  
at the City of Washington, D. C.  
this 30th day of June in  
the year of our Lord one thousand nine  
hundred and eighty-eight.

Attest:

*Kenneth H. Evans*  
Commissioner  
Plant Variety Protection Office  
Agricultural Marketing Service

*Richard E. Lyng*  
Secretary of Agriculture

U.S. DEPARTMENT OF AGRICULTURE  
AGRICULTURAL MARKETING SERVICE

FORM APPROVED: OMB NO. 0581-0055

Application is required in order to determine if a plant variety protection certificate is to be issued (7 U.S.C. 2421). Information is held confidential until certificate is issued (7 U.S.C. 2426).

## APPLICATION FOR PLANT VARIETY PROTECTION CERTIFICATE

(Instructions on reverse)

1. NAME OF APPLICANT(S) Pioneer Hi-Bred International, Inc.		2. TEMPORARY DESIGNATION	3. VARIETY NAME 9331
4. ADDRESS (Street and No. or R.F.D. No., City, State, and Zip Code) 700 Capital Square 400 Locust Street Des Moines, IA 50309		5. PHONE (Include area code) 319/234-0335	FOR OFFICIAL USE ONLY VPVO NUMBER 8700103
6. GENUS AND SPECIES NAME Glycine Max	7. FAMILY NAME (Botanical) Leguminosae		FILING DATE March 31, 1987 TIME 9:30 <input checked="" type="checkbox"/> A.M. <input type="checkbox"/> P.M.
8. KIND NAME Soybean	9. DATE OF DETERMINATION September, 1982 January, 1985 (Increased)		FEES RECEIVED AMOUNT FOR FILING \$ 1800.00 DATE March 31, 1987 AMOUNT FOR CERTIFICATE \$ 200.00 DATE May 2, 1988
10. IF THE APPLICANT NAMED IS NOT A "PERSON," GIVE FORM OF ORGANIZATION (Corporation, partnership, association, etc.) Corporation		12. DATE OF INCORPORATION 1926	
11. IF INCORPORATED, GIVE STATE OF INCORPORATION Iowa			
13. NAME AND ADDRESS OF APPLICANT REPRESENTATIVE(S), IF ANY, TO SERVE IN THIS APPLICATION AND RECEIVE ALL PAPERS Clark W. Jennings 3261 West Airline Hwy Waterloo, IA 50703-9610 Mary Helen Mitchell (Copy) 700 Capital Square - 400 Locust Street Des Moines, IA 50309 PHONE (Include area code):			
14. CHECK APPROPRIATE BOX FOR EACH ATTACHMENT SUBMITTED a. <input checked="" type="checkbox"/> Exhibit A, Origin and Breeding History of the Variety (See Section 52 of the Plant Variety Protection Act.) b. <input checked="" type="checkbox"/> Exhibit B, Novelty Statement. c. <input checked="" type="checkbox"/> Exhibit C, Objective Description of Variety (Request form from Plant Variety Protection Office.) d. <input type="checkbox"/> Exhibit D, Additional Description of Variety. e. <input checked="" type="checkbox"/> Exhibit E, Statement of the Basis of Applicant's Ownership.			
15. DOES THE APPLICANT(S) SPECIFY THAT SEED OF THIS VARIETY BE SOLD BY VARIETY NAME ONLY AS A CLASS OF CERTIFIED SEED? (See Section 83(a) of the Plant Variety Protection Act.) <input type="checkbox"/> Yes (If "Yes," answer items 16 and 17 below) <input checked="" type="checkbox"/> No			
16. DOES THE APPLICANT(S) SPECIFY THAT THIS VARIETY BE LIMITED AS TO NUMBER OF GENERATIONS? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		17. IF "YES" TO ITEM 16, WHICH CLASSES OF PRODUCTION BEYOND BREEDER SEED? <input type="checkbox"/> Foundation <input type="checkbox"/> Registered <input type="checkbox"/> Certified	
18. DID THE APPLICANT(S) PREVIOUSLY FILE FOR PROTECTION OF THE VARIETY IN THE U.S. <input type="checkbox"/> Yes (If "Yes," give date) <input checked="" type="checkbox"/> No			
19. HAS THE VARIETY BEEN RELEASED, OFFERED FOR SALE, OR MARKETING IN THE U.S. OR OTHER COUNTRIES? <input type="checkbox"/> Yes (If "Yes," give names of countries and dates) <input checked="" type="checkbox"/> No			
20. The applicant(s) declare(s) that a viable sample of basic seeds of this variety will be furnished with the application and will be replenished upon request in accordance with such regulations as may be applicable. The undersigned applicant(s) is (are) the owner(s) of this sexually reproduced novel plant variety, and believe(s) that the variety is distinct, uniform, and stable as required in Section 41, and is entitled to protection under the provisions of Section 42 of the Plant Variety Protection Act. Applicant(s) is (are) informed that false representation herein can jeopardize protection and result in penalties.			
SIGNATURE OF APPLICANT Clark W. Jennings		DATE March 19, 1987	
SIGNATURE OF APPLICANT		DATE 1	

**Attachment: 9331 Soybean (March, 1987)**

**Exhibit A:** Variety **9331** evolved from a cross of (WILLAIMS 79 X A3127) X A3127. It is an F5-derived variety which was advanced to the F5 generation by modified single-seed descent. The F6 progeny row of **9331** was grown in Ohio during the summer of 1982. Subsequently, **9331** has undergone four years of extensive testing and purification and has been observed by the breeder to be uniform and stable for all plant traits from generation to generation, with no evidence of variants.

Four acres of **9331** (breeders seed) were grown in 1985. 100 acres of parent seedstock (foundation seed equivalent) were grown in 1986.

**Exhibit B:** Variety **9331** is most similar to variety A3427. However, **9331** is significantly earlier than A3427 by more than four days, (Table 1). Also, **9331** is moderately susceptible to Bacterial Pustule (xanthomonas phaseoli vr. sojensis whereas A3427 is resistant.

**Exhibit E:** Pioneer Hi-Bred International, Inc. is the sole, original, and first breeder of soybean variety **9331**, for which it solicits a certificate of protection.

Amendment: 9331 Soybean (April, 1988)

Exhibit B: Variety 9331 is most similar to variety A3427. However, 9331 is significantly earlier than A3427 by more than four days, (Table 1). Also, 9331 is moderately susceptible to Bacterial Pustule (xanthomonas phaseoli vr. sojensis) whereas A3427 is resistant.

Also, variety 9331 resembles CX 326 in that both varieties have purple flowers, brown (tawny) pubescence, and black hila. However, 9331 is significantly taller than CX 326 by 3.2 cm (Table 2), and significantly earlier maturing than CX 326 by 3 days (Table 3).

Table 1. Paired Comparison (Days to Maturity) 1986 Data

EXP	LOC	REP	A3427(X <sub>1</sub> )	9331(X <sub>2</sub> )		(X <sub>1</sub> -X <sub>2</sub> )	(X <sub>1</sub> -X <sub>2</sub> ) <sup>2</sup>
NPA3	46F	1	140	136		4	16
		2	142	137		5	25
	48D	1	144	138		6	36
		2	141	138		3	9
	50A	1	139	134		5	25
		2	140	134		6	36
CFA3	03C	1	124	121		3	9
		2	124	123		1	1
		3	126	123		3	9
	04C	1	124	121		3	9
		2	126	121		5	25
		3	126	120		6	36
TOTAL			1,596	1,546		50	236

$\bar{X}$	133.0	128.8		4.2
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N = 12

$$s_d = \sqrt{\frac{236 - [(50)^2/12]}{12(11)}} = 0.458$$

$$t_{(.05)} = \frac{\bar{d}}{s_d} = \frac{133.0 - 128.8}{0.458} = 9.17 \quad ** \text{ for 11 df}$$

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Table 2. Paired Comparison (Plant Height in cm.) 1987 Data

OBSERVATION #	9331( $X_1$ )	CX 326( $X_2$ )		( $X_1 - X_2$ )	( $X_1 - X_2$ ) <sup>2</sup>
1	94	89		5	25
2	99	97		2	4
3	97	94		3	9
4	102	99		3	9
5	99	97		2	4
6	102	99		3	9
7	102	99		3	9
8	94	91		3	9
9	99	94		5	25
<b>TOTAL</b>	<b>888</b>	<b>859</b>		<b>29</b>	<b>103</b>
$\bar{X}$	<b>98.7</b>	<b>95.4</b>		<b>3.2</b>	

N = 9

$$\frac{s}{d} = \sqrt{\frac{103 - [(29)^2/9]}{9(8)}} = 0.364$$

$$t = \frac{3.2}{0.364} = 8.791 \text{ ** for 8 df}$$

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Table 3. Paired Comparison (Days to Maturity) 1987 Data

OBSERVATION #	9331( $x_2$ )	CX 326( $x_1$ )		( $x_1 - x_2$ )	( $x_1 - x_2$ ) <sup>2</sup>
1	128	130		2	4
2	126	130		4	16
3	127	130		3	9
4	128	131		3	9
5	127	131		4	16
6	126	130		4	16
7	127	129		2	4
8	126	131		5	25
9	127	130		3	9
10	127	130		3	9
11	127	132		5	25
12	128	129		1	1
TOTAL	1,524	1,563		39	143
$\bar{x}$	127	130.25		3.25	

N = 12

$$s_d = \sqrt{\frac{143 - [(39)^2/12]}{12(11)}} = 0.351$$

$$t = \frac{3.25}{0.351} = 9.26 \text{ ** for 11 df}$$

U.S. DEPARTMENT OF AGRICULTURE  
AGRICULTURAL MARKETING SERVICE  
LIVESTOCK, MEAT, GRAIN & SEED DIVISION  
PLANT VARIETY PROTECTION OFFICE  
BELTSVILLE, MARYLAND 20705

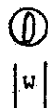
EXHIBIT C  
(Soybean)

OBJECTIVE DESCRIPTION OF VARIETY  
SOYBEAN (*Glycine max* L.)

NAME OF APPLICANT(S) Pioneer Hi-Bred International, Inc.	TEMPORARY DESIGNATION	VARIETY NAME 9331
ADDRESS (Street and No., or R.F.D. No., City, State, and Zip Code) 700 Capital Square 400 Locust Street Des Moines, IA 50309		FOR OFFICIAL USE ONLY PVPO NUMBER 8700103

Choose the appropriate response which characterizes the variety in the features described below. When the number of significant digits in your answer is fewer than the number of boxes provided, place a zero in the first box when number is 9 or less (e.g., ).

## 1. SEED SHAPE:



1 = Spherical (L/W, L/T, and T/W ratios = < 1.2)  
3 = Elongate (L/T ratio > 1.2; T/W = < 1.2)

2 = Spherical Flattened (L/W ratio > 1.2; L/T ratio = < 1.2)  
4 = Elongate Flattened (L/T ratio > 1.2; T/W > 1.2)

## 2. SEED COAT COLOR: (Mature Seed)

1 = Yellow

2 = Green

3 = Brown

4 = Black

5 = Other (Specify) \_\_\_\_\_

## 3. SEED COAT LUSTER: (Mature Hand Shelled Seed)

1 = Dull ('Corsoy 79'; 'Braxton')

2 = Shiny ('Nebsoy'; 'Gasoy 17')

## 4. SEED SIZE: (Mature Seed)

Grams per 100 seeds

## 5. HILUM COLOR: (Mature Seed)

1 = Buff

2 = Yellow

3 = Brown

4 = Gray

5 = Imperfect Black

6 = Black

7 = Other (Specify) \_\_\_\_\_

## 6. COTYLEDON COLOR: (Mature Seed)

1 = Yellow

2 = Green

## 7. SEED PROTEIN PEROXIDASE ACTIVITY:

1 = Low

2 = High

## 8. SEED PROTEIN ELECTROPHORETIC BAND:

☐1 = Type A (SP1<sup>a</sup>)2 = Type B (SP1<sup>b</sup>)

## 9. HYPOCOTYL COLOR:

1 = Green only ('Evans'; 'Davis')

2 = Green with bronze band below cotyledons ('Woodworth'; 'Tracy')

3 = Light Purple below cotyledons ('Beeson'; 'Pickett 71')

4 = Dark Purple extending to unifoliate leaves ('Hodgson'; 'Coker Hampton 266A')

## 10. LEAFLET SHAPE:

1 = Lanceolate

2 = Oval

3 = Ovate

4 = Other (Specify) \_\_\_\_\_



## 11. LEAFLET SIZE:

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☐ 21 = Small ('Amsoy 71'; 'A5312')  
3 = Large ('Crawford'; 'Tracy')

2 = Medium ('Corsoy 79'; 'Gasoy 17')

## 12. LEAF COLOR:

☐ 31 = Light Green ('Weber'; 'York')  
3 = Dark Green ('Gnome'; 'Tracy')

2 = Medium Green ('Corsoy 79'; 'Braxton')

## 13. FLOWER COLOR:

☐ 2

1 = White

2 = Purple

3 = White with purple throat

## 14. POD COLOR:

☐ 1

1 = Tan

2 = Brown

3 = Black

## 15. PLANT PUBESCENCE COLOR:

☐ 2

1 = Gray

2 = Brown (Tawny)

## 16. PLANT TYPES:

☐ 21 = Slender ('Essex'; 'Amsoy 71')  
3 = Bushy ('Gnome'; 'Govan')

2 = Intermediate ('Amcor'; 'Braxton')

## 17. PLANT HABIT:

☐ 3

1 = Determinate ('Gnome'; 'Braxton')

2 = Semi-Determinate ('Will')

3 = Indeterminate ('Nebsoy'; 'Improved Pelican')

## 18. MATURITY GROUP:

☐ 0 ☐ 61 = 000  
9 = VI2 = 00  
10 = VII3 = 0  
11 = VIII4 = I  
12 = IX5 = II  
13 = X

6 = III

7 = IV

8 = V

## 19. DISEASE REACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant)

## BACTERIAL DISEASES:

☐ 1Bacterial Pustule (*Xanthomonas phaseoli* var. *sojensis*)☐ 1Bacterial Blight (*Pseudomonas glycinea*)☐ 0Wildfire (*Pseudomonas tabaci*)

## FUNGAL DISEASES:

☐ 0Brown Spot (*Septoria glycines*)Frogeye Leaf Spot (*Cercospora sojina*)☐ 0

Race 1

☐ 0

Race 2

☐ 0

Race 3

☐ 0

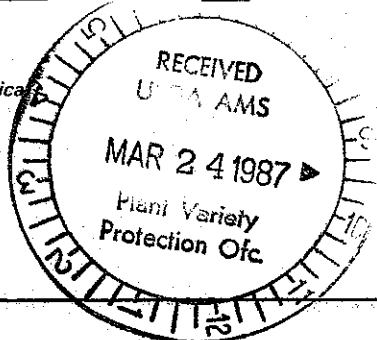
Race 4

☐ 0

Race 5

☐ 0

Other (Specify)

☐ 0Target Spot (*Corynespora cassiicola*)☐ 0Downy Mildew (*Peronospora trifoliorum* var. *manshurica*)☐ 0Powdery Mildew (*Microsphaera diffusa*)☐ 0Brown Stem Rot (*Cephalosporium gregetum*)☐ 0Stem Canker (*Diaporthe phaseolorum* var. *caulivora*)

## 19. DISEASE REACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant) (Continued)

## FUNGAL DISEASES: (Continued)

- Pod and Stem Blight (*Diaporthe phaseolorum* var. *sojae*)  
 Purple Seed Stain (*Cercospora kikuchii*)  
 Rhizoctonia Root Rot (*Rhizoctonia solani*)  
 Phytophthora Rot (*Phytophthora megasperma* var. *sojae*)  
 Race 1    Race 2    Race 3    Race 4    Race 5    Race 6    Race 7  
 Race 8    Race 9    Other (Specify) Races 13, 15, 17, 21, 23, 24 (Resistant)

## VIRAL DISEASES:

- Bud Blight (Tobacco Ringspot Virus)  
 Yellow Mosaic (Bean Yellow Mosaic Virus)  
 Cowpea Mosaic (Cowpea Chlorotic Virus)  
 Pod Mottle (Bean Pod Mottle Virus)  
 Seed Mottle (Soybean Mosaic Virus)

## NEMATODE DISEASES:

- Soybean Cyst Nematode (*Heterodera glycines*)  
 Race 1    Race 2    Race 3    Race 4    Other (Specify) \_\_\_\_\_  
 Lance Nematode (*Hoplolaimus Colombus*)  
 Southern Root Knot Nematode (*Meloidogyne incognita*)  
 Northern Root Knot Nematode (*Meloidogyne Hapla*)  
 Peanut Root Knot Nematode (*Meloidogyne arenaria*)  
 Reniform Nematode (*Rotylenchulus reniformis*)  
 OTHER DISEASE NOT ON FORM (Specify): \_\_\_\_\_

## 20. PHYSIOLOGICAL RESPONSES: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant)

- Iron Chlorosis on Calcareous Soil  
 Other (Specify) \_\_\_\_\_

## 21. INSECT REACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant)

- Mexican Bean Beetle (*Epilachna varivestis*)  
 Potato Leaf Hopper (*Empoasca fabae*)  
 Other (Specify) \_\_\_\_\_

## 22. INDICATE WHICH VARIETY MOST CLOSELY RESEMBLES THAT SUBMITTED.

CHARACTER	NAME OF VARIETY	CHARACTER	NAME OF VARIETY
Plant Shape	A3427	Seed Coat Luster	A3427
Leaf Shape	A3427	Seed Size	A3427
Leaf Color	A3427	Seed Shape	A3427
Leaf Size	A3427	Seedling Pigmentation	A3427

## 23. GIVE DATA FOR SUBMITTED AND SIMILAR STANDARD VARIETY: Paired Comparison Data

VARIETY	NO. OF DAYS MATURITY	PLANT LODGING SCORE	CM PLANT HEIGHT	LEAFLET SIZE		SEED CONTENT		SEED SIZE G/100 SEEDS	NO. SEEDS/POD
				CM Width	CM Length	% Protein	% Oil		
9331 Submitted	129	2.3	100	-	-	-	-	15.5	-
A3427 Name of Similar Variety	133	1.6	99	-	-	-	-	18.0	-

## PUBLICATIONS USEFUL AS REFERENCE AIDS FOR COMPLETING THIS FORM:

1. Caldwell, B.E., ed. 1973. Soybeans: Improvement, Production, and Uses. Amer. Soc. Agron. Monograph No. 16.
2. Buttery, B.R. and R.I. Buzzell. 1968. Peroxidase activity in seeds of soybean varieties. Crop Sci., 8: 722-725.
3. Hymowitz, T. 1973. Electrophoretic analysis of SBTI-A<sub>2</sub> in the USDA soybean germplasm collection. Crop Sci., 13: 420-421.
4. Payne, R.C. and L.F. Morris. 1976. Differentiation of soybean cultivars by seedling pigmentation patterns. J. Seed Technol. 1: 1-19.

